

# TAB 1

**Issued by the  
UNITED STATES DISTRICT COURT FOR THE  
EASTERN DISTRICT OF PENNSYLVANIA**

DYSON TECHNOLOGY LIMITED  
and DYSON, INC.

**SUBPOENA IN A CIVIL CASE**

**V.**

CASE NUMBER: <sup>1</sup> 05-434-GMS  
United States District Court  
for the District of Delaware

MAYTAG CORPORATION

TO: Gloria Collins  
c/o Thomas B. O'Brien, Jr., Gen. Counsel  
ASTM International  
100 Barr Harbor Drive  
West Conshohocken, PA 19428-2959

☐ YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY	COURTROOM
	DATE AND TIME

☐ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION	DATE AND TIME
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☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects): **See Attachments A and B.**

PLACE Young Conaway Stargatt & Taylor, LLP, 1000 West Street, 17 <sup>th</sup> Floor, P.O. Box 391, Wilmington, DE 10899-0391	DATE AND TIME October 20, 2006
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☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES	DATE AND TIME
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Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure. 30(b)(6).

ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)



Attorney for Plaintiffs

DATE  
October 4, 2006

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Adam W. Poff, Esquire, Young Conaway Stargatt & Taylor, LLP, The Brandywine Building, 17<sup>th</sup> Floor, PO Box 391,  
Wilmington, DE 19899-0391

(See Rule 45, Federal Rules of Civil Procedure, Parts C & D on Reverse)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number.

**PROOF OF SERVICE**

DATE	PLACE
SERVED	
SERVED ON (PRINT NAME)	MANNER OF SERVICE
SERVED BY (PRINT NAME)	TITLE

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on \_\_\_\_\_

ATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

**Rule 45, Federal Rules of Civil Procedure, Parts C & D:****(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.**

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3)(A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance;

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that

person resides, is employed or regularly transacts business person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or

(iv) subjects a person to undue burden.

(B) If a subpoena

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

**DEFINITIONS APPLICABLE TO ATTACHMENTS A and B**

1. "ASTM" means ASTM International.
2. "Document" means any written, printed, typed, recorded, or graphic matter, however produced, reproduced or stored, in the actual or constructive possession, custody or control of the party served with these requests, including, but not limited to, records, correspondence, memoranda, handwritten notes, records or summaries of negotiations, records or summaries of interviews or conversations, audio or video recordings, photographs, corporate minutes, diaries, telephone logs, schedules, drawings, statistical statements, work papers, discs, data cards, films, data processing files, computer printouts and other computer records, including email, and all drafts and modifications thereof, and all non-identical copies of any such items. Any such document bearing on any sheet or part thereof, any marks such as initials, stamped indices, comments or notations or any character or characters which are not part of the signed text or photographic reproduction thereof is to be considered a separate document.
3. "Dyson" refers to the plaintiffs in this action.
4. "IEC" means the International Electrotechnical Commission.
5. "Hoover" refers to The Hoover Company and all of its subsidiaries, affiliates, parents, divisions and joint ventures, including all officers, employees, agents, representatives, contractors or consultants of those entities.
6. "Maytag" refers to The Maytag Corporation and all of its subsidiaries, affiliates, parents, divisions and joint ventures, including all officers, employees, agents, representatives, contractors or consultants of those entitiesl
7. "You" or "Your" refers to Gloria Collins.

**ATTACHMENT A**

**DEPOSITION TOPICS**

1. The ASTM and IEC protocols and standards for testing vacuum cleaners.
2. The role of Hoover or Maytag representatives in the affairs of the working and/or technical groups, committees or subcommittees of the ASTM responsible for vacuum cleaners.
3. Financial contributions, costs, expenses, fees or payments made by Hoover or Maytag or any of its representatives, employees, contractors or agents, to the ASTM or the working and/or technical groups, committees or subcommittees of the ASTM responsible for vacuum cleaners.
4. The position of Hoover or Maytag, or any of its representatives, employees, contractors or agents, on whether the ASTM should adopt an amendment to ASTM F558 to allow measurement of vacuum cleaner suction power at the nozzle.
5. The role of Hoover or Maytag, or any of its representatives, employees, contractors or agents, in the development or amendment of any ASTM protocol or standard for testing vacuum cleaners.
6. Whether ASTM's protocols or standards for vacuum cleaners accurately represent real-life conditions found in American homes, including but not limited to field studies used to support ASTM's F608 test methodology.
7. The availability in the marketplace of the test carpeting required to be used under ASTM F608.
8. Voting and/or amendment procedures for ASTM protocols or standards for testing upright vacuum cleaners."

**ATTACHMENT B**

**INSTRUCTIONS APPLICABLE TO ATTACHMENT B**

1. Documents should be produced as they are kept in Your files or shall be organized and labeled to correspond with the requests below. If documents are produced as they are kept in Your files, sufficient information should be provided to permit plaintiffs to identify the source of particular documents from within Your files.
2. All drafts of responsive documents, as well as non-identical copies, should be produced. Identical copies of a document that is being produced need not also be produced.
3. In construing these requests: (i) the singular shall include the plural and the plural shall include the singular; (ii) masculine, feminine or neuter pronouns shall not exclude other genders; (iii) the conjunctions “and” and “or” shall read either disjunctively or conjunctively so as to bring within the scope of this request all information that might be construed to be outside its scope; and (iv) the word “any” shall include, without limitation, “each and every.”
4. If You claim that any document requested is immune from disclosure (in whole or in part) under any claim of privilege or immunity, submit a written statement for each document withheld that: (i) identifies the person(s) who prepared or authored the document and all recipients or addressees, including recipients of copies; (ii) specifies the date on which the document was prepared; (iii) describes the nature of the document (e.g., letter, memorandum, notes, e-mail, etc.); (iv) identifies the subject matter of the document; (v) if the document reflects or refers to a meeting or conversation, identifies all persons who were present at or parties to the meeting or conversation; and (vi) sets forth the nature of the basis for the claim of privilege or immunity asserted.

**DOCUMENTS REQUESTED**

1. All documents concerning ASTM or IEC protocols or standards for testing vacuum cleaners, or any or proposed revisions thereto.
2. All documents concerning how Hoover or Maytag or any of its representatives, employees, contractors or agents voted or proposed to vote upon any ASTM or IEC protocol or standard for testing vacuum cleaners, or upon any amendment or proposed revision thereto.
3. All documents concerning the role of Hoover or Maytag, or any of its representatives, employees, contractors or agents, in the affairs of the working and/or technical groups, committees or subcommittees of the ASTM or IEC responsible for vacuum cleaners.
4. Documents sufficient to show any financial contribution, cost, expense, fee or payment made by Hoover or Maytag or any of its representatives, employees, contractors or agents to the IEC or ASTM or the working and/or technical groups, committees or subcommittees of the ASTM or IEC responsible for vacuum cleaners.
5. All documents concerning the position of Hoover or Maytag, or any of its representatives, employees, contractors or agents, on whether the ASTM should adopt an amendment to ASTM F558 to allow measurement of vacuum cleaner suction power at the nozzle.
6. All documents concerning the role of Hoover or Maytag, or any of its representatives, employees, contractors or agents, in the development or amendment of any ASTM or IEC protocol or standard for testing vacuum cleaners.
7. All documents concerning whether any IEC or ASTM protocol or standard for vacuum cleaners accurately represents real-life conditions found in American homes, including,

but not limited to, field studies used to support ASTM's F608 test methodology and the extent to which uncarpeted hardwood floors are present in American homes.

8. All documents concerning the availability in the marketplace of the test carpeting required to be used under ASTM F608.

9. All documents concerning voting and/or amendment procedures for IEC or ASTM protocols or standards for testing upright vacuum cleaners.



**TAB 2**

**Issued by the  
UNITED STATES DISTRICT COURT FOR THE  
EASTERN DISTRICT OF TENNESSEE**

DYSON TECHNOLOGY LIMITED  
and DYSON, INC.

**SUBPOENA IN A CIVIL CASE**

**V.**

CASE NUMBER: <sup>1</sup> 05-434-GMS  
United States District Court  
for the District of Delaware

MAYTAG CORPORATION

TO: Compliance Consulting Inc.  
92 Tulip Grove Circle, Unit 16  
Bristol, TN 37620

☐ YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY

COURTROOM

DATE AND TIME

☐ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION

DATE AND TIME

☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects): **See attached Schedule A.**

PLACE

APS #24293A, Robert Cross, 6081 Pole Bridge Rd., Wise, VA 24293  
\*Please call 1-800-328-7171 before serving

DATE AND TIME

October 20, 2006

☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES

DATE AND TIME

Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure. 30(b)(6).

ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)



Attorney for Plaintiffs

DATE

October 4, 2006

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Adam W. Poff, Esquire, Young Conaway Stargatt & Taylor, LLP, The Brandywine Building, 17<sup>th</sup> Floor, PO Box 391, Wilmington, DE 19899-0391

(See Rule 45, Federal Rules of Civil Procedure, Parts C & D on Reverse)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number.

**PROOF OF SERVICE**

DATE	PLACE
SERVED	
SERVED ON (PRINT NAME)	MANNER OF SERVICE
SERVED BY (PRINT NAME)	TITLE

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on \_\_\_\_\_

ATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

**Rule 45, Federal Rules of Civil Procedure, Parts C & D:****(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.**

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3)(A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance;

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that

person resides, is employed or regularly transacts business person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or

(iv) subjects a person to undue burden.

(B) If a subpoena

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

**SCHEDULE A**

**DEFINITIONS WITH RESPECT TO ITEMS SUBPOENAED AND INSTRUCTIONS**

1. “Concerning” means directly or indirectly mentioning, constituting, discussing or describing, relating to, pertaining to or being connected with a stated subject matter or any aspect thereof.

2. “Document” has the broadest meaning accorded to it by Rule 34 of the Federal Rules of Civil Procedure and includes, without limitation, any recording in any tangible form of any information, whether handwritten, typed, printed, stored on computer disks, tapes or databases (including electronic files and email), or otherwise reproduced. “Document” also includes all drafts and copies that are not identical to the original.

3. "Dyson" refers to Dyson Technology Limited and Dyson, Inc. and any and all of its predecessors and successors in interest, any and all of its parents, subsidiaries, divisions, affiliates, and affiliated entities, and its partners, employees, agents, officers, directors, and representatives of the foregoing and any other person acting or purporting to act on behalf of any of the foregoing.

4. “Hoover” refers to The Hoover Company and all of its subsidiaries, affiliates, parents, divisions and joint ventures, and includes all officers, employees, agents, representatives, contractors or consultants of those entities.

5. “Maytag” refers to The Maytag Corporation and all of its subsidiaries, affiliates, parents, divisions and joint ventures, and includes all officers, employees, agents, representatives, contractors or consultants of those entities.

6. “You” or “Your” refers to Compliance Consulting Inc., and any and all of its predecessors and successors in interest, any and all of its parents, subsidiaries, divisions, affiliates, and affiliated entities, and its partners, employees, agents, officers, directors, and

representatives of the foregoing and any other person acting or purporting to act on behalf of any of the foregoing.

7. Documents should be produced as they are kept in Your files or shall be organized and labeled to correspond with the requests below. If documents are produced as they are kept in Your files, sufficient information should be provided to permit plaintiffs to identify the source of particular documents from within Your files.

8. All drafts of responsive documents, as well as non-identical copies, should be produced. Identical copies of a document that is being produced need not also be produced.

9. In construing these requests: (i) the singular shall include the plural and the plural shall include the singular; (ii) masculine, feminine or neuter pronouns shall not exclude other genders; (iii) the conjunctions “and” and “or” shall read either disjunctively or conjunctively so as to bring within the scope of this request all information that might be construed to be outside its scope; and (iv) the word “any” shall include, without limitation, “each and every.”

10. If You claim that any document requested is immune from disclosure (in whole or in part) under any claim of privilege or immunity, submit a written statement for each document withheld that: (i) identifies the person(s) who prepared or authored the document and all recipients or addressees, including recipients of copies; (ii) specifies the date on which the document was prepared; (iii) describes the nature of the document (e.g., letter, memorandum, notes, e-mail, etc.); (iv) identifies the subject matter of the document; (v) if the document reflects or refers to a meeting or conversation, identifies all persons who were present at or parties to the meeting or conversation; and (vi) sets forth the nature of the basis for the claim of privilege or immunity asserted.

**DOCUMENTS REQUESTED**

1. All documents relating to efficacy of the Hoover WindTunnel, the Hoover Fusion vacuum or the Maytag Legacy vacuum, including, but not limited to, cleaning effectiveness, suction ability, filters, and emissions.
2. All documents relating to any testing of Dyson upright vacuums, the Hoover WindTunnel vacuum, the Hoover Fusion vacuum or the Maytag Legacy vacuum, as witnessed or observed by You at the request of Maytag/Hoover, including, without limitation, test reports; protocols used; all communications between You and Maytag/Hoover or any party performing the tests; any internal memoranda addressing, discussing, or commenting in any way upon the study, the tests, or protocol; underlying data; laboratory notebooks; or notes.
3. All documents relating to any testing of Dyson upright vacuums, the Hoover WindTunnel vacuum, the Hoover Fusion vacuum or the Maytag Legacy vacuum, performed, witnessed, or observed by You at the request of Maytag/Hoover, including, without limitation, test reports; protocols used; all communications between You and Maytag/Hoover or any party performing the tests; any internal memoranda addressing, discussing, or commenting in any way upon the study, the tests, or protocol; underlying data; laboratory notebooks; or notes.
4. Any and all documents concerning the accuracy, validity, reliability, repeatability, reproducibility, benchmarking or measurement error of any testing process or procedure used in any testing You performed, witnessed, or observed at the request of Maytag/Hoover.
5. Any and all documents related to International Electrotechnical Committee ("IEC") or ASTM International ("ASTM") protocols for testing of upright vacuum cleaners.

6. Any and all documents relating to any litigation, challenges, disputes, arbitrations or mediations before any court, government agency, consumer group, or the National Advertising Division of the Better Business Bureaus (NAD) regarding advertising claims for Dyson upright vacuum cleaners made or sold in the United States, including any communications between You and Maytag/Hoover.

7. Your qualifications and experience concerning the observation or witnessing of testing procedures for vacuum cleaner performance testing.

8. The compensation paid by Hoover and/or Maytag to you for any work performed on their behalf in conjunction with testing, observation or witnessing of testing on Dyson upright vacuums, the Hoover WindTunnel vacuum, the Hoover Fusion vacuum or the Maytag Legacy vacuum.

**TAB 3**



**Issued by the  
UNITED STATES DISTRICT COURT FOR THE  
NORTHERN DISTRICT OF ILLINOIS**

DYSON TECHNOLOGY LIMITED  
and DYSON, INC.

**SUBPOENA IN A CIVIL CASE**

**V.**

CASE NUMBER: <sup>1</sup> 05-434-GMS  
United States District Court  
for the District of Delaware

MAYTAG CORPORATION

TO: John Baylough  
c/o Winston & Strawn, LLP  
Attn: Stephen Durchslag, Esq.  
35 W. Wacker Drive  
Chicago, IL 60601-9703

☐ YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY

COURTROOM

DATE AND TIME

☐ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION

DATE AND TIME

☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects): **See Attachments A and B.**

PLACE

APS #60601 – Attn: Corey, 55 West Wacker Dr., 9<sup>th</sup> Floor, Chicago, IL 60601  
\*Please call 1-800-328-7171 before serving

DATE AND TIME

October 20, 2006

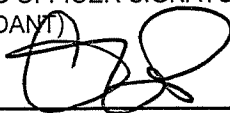
☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES

DATE AND TIME

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ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)



Attorney for Plaintiffs

DATE  
October 4, 2006

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Adam W. Poff, Esquire, Young Conaway Stargatt & Taylor, LLP, The Brandywine Building, 17<sup>th</sup> Floor, PO Box 391,  
Wilmington, DE 19899-0391

(See Rule 45, Federal Rules of Civil Procedure, Parts C & D on Reverse)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number.

**PROOF OF SERVICE**

DATE	PLACE
SERVED	
SERVED ON (PRINT NAME)	MANNER OF SERVICE
SERVED BY (PRINT NAME)	TITLE

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on \_\_\_\_\_  
 ATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

**Rule 45, Federal Rules of Civil Procedure, Parts C & D:****(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.**

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3)(A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

- (i) fails to allow reasonable time for compliance;
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that

person resides, is employed or regularly transacts business person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or

(iv) subjects a person to undue burden.

**(B) If a subpoena**

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

**(d) DUTIES IN RESPONDING TO SUBPOENA.**

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**DEFINITIONS APPLICABLE TO ATTACHMENTS A and B**

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3. “Document” has the broadest meaning accorded to it by Rule 34 of the Federal Rules of Civil Procedure and includes, without limitation, any recording in any tangible form of any information, whether handwritten, typed, printed, stored on computer disks, tapes or databases (including electronic files and email), or otherwise reproduced. “Document” also includes all drafts and copies that are not identical to the original.
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5. “IEC” means the International Electrotechnical Commission.
6. “Maytag” refers to The Maytag Corporation and all of its subsidiaries, affiliates, parents, divisions and joint ventures, and includes all officers, employees, agents, representatives, contractors or consultants of those entities.
7. “You” or “Your” refers to John Baylough.

**ATTACHMENT A**

**DEPOSITION TOPICS**

1. The ASTM and IEC protocols and standards for testing vacuum cleaners.
2. The role of Hoover or Maytag representatives in the affairs of the working and/or technical groups, committees or subcommittees of the ASTM responsible for vacuum cleaners.
3. Financial contributions, costs, expenses, fees or payments made by Hoover or Maytag or any of its representatives, employees, contractors or agents, to the ASTM or the working and/or technical groups, committees or subcommittees of the ASTM responsible for vacuum cleaners.
4. The position of Hoover or Maytag, or any of its representatives, employees, contractors or agents, on whether the ASTM should adopt an amendment to ASTM F558 to allow measurement of vacuum cleaner suction power at the nozzle.
5. The role of Hoover or Maytag, or any of its representatives, employees, contractors or agents, in the development or amendment of any ASTM protocol or standard for testing vacuum cleaners.
6. Whether ASTM's protocols or standards for vacuum cleaners accurately represent real-life conditions found in American homes, including but not limited to field studies used to support ASTM's F608 test methodology.
7. The availability in the marketplace of the test carpeting required to be used under ASTM F608.
8. Voting and/or amendment procedures for ASTM protocols or standards for testing upright vacuum cleaners."

**ATTACHMENT B**

**INSTRUCTIONS APPLICABLE TO ATTACHMENT B**

1. Documents should be produced as they are kept in Your files or shall be organized and labeled to correspond with the requests below. If documents are produced as they are kept in Your files, sufficient information should be provided to permit plaintiffs to identify the source of particular documents from within Your files.

2. All drafts of responsive documents, as well as non-identical copies, should be produced. Identical copies of a document that is being produced need not also be produced.

3. In construing these requests: (i) the singular shall include the plural and the plural shall include the singular; (ii) masculine, feminine or neuter pronouns shall not exclude other genders; (iii) the conjunctions “and” and “or” shall read either disjunctively or conjunctively so as to bring within the scope of this request all information that might be construed to be outside its scope; and (iv) the word “any” shall include, without limitation, “each and every.”

4. If You claim that any document requested is immune from disclosure (in whole or in part) under any claim of privilege or immunity, submit a written statement for each document withheld that: (i) identifies the person(s) who prepared or authored the document and all recipients or addressees, including recipients of copies; (ii) specifies the date on which the document was prepared; (iii) describes the nature of the document (e.g., letter, memorandum, notes, e-mail, etc.); (iv) identifies the subject matter of the document; (v) if the document reflects or refers to a meeting or conversation, identifies all persons who were present at or parties to the meeting or conversation; and (vi) sets forth the nature of the basis for the claim of privilege or immunity asserted.

**DOCUMENTS REQUESTED**

1. All documents concerning ASTM or IEC protocols or standards for testing vacuum cleaners, or any or proposed revisions thereto.
2. All documents concerning how Hoover or Maytag or any of its representatives, employees, contractors or agents voted or proposed to vote upon any ASTM or IEC protocol or standard for testing vacuum cleaners, or upon any amendment or proposed revision thereto.
3. All documents concerning the role of Hoover or Maytag, or any of its representatives, employees, contractors or agents, in the affairs of the working and/or technical groups, committees or subcommittees of the ASTM or IEC responsible for vacuum cleaners.
4. Documents sufficient to show any financial contribution, cost, expense, fee or payment made by Hoover or Maytag or any of its representatives, employees, contractors or agents to the IEC or ASTM or the working and/or technical groups, committees or subcommittees of the ASTM or IEC responsible for vacuum cleaners.
5. All documents concerning the position of Hoover or Maytag, or any of its representatives, employees, contractors or agents, on whether the ASTM should adopt an amendment to ASTM F558 to allow measurement of vacuum cleaner suction power at the nozzle.
6. All documents concerning the role of Hoover or Maytag, or any of its representatives, employees, contractors or agents, in the development or amendment of any ASTM or IEC protocol or standard for testing vacuum cleaners.
7. All documents concerning whether any IEC or ASTM protocol or standard for vacuum cleaners accurately represents real-life conditions found in American homes, including,

but not limited to, field studies used to support ASTM's F608 test methodology and the extent to which uncarpeted hardwood floors are present in American homes.

8. All documents concerning the availability in the marketplace of the test carpeting required to be used under ASTM F608.

**TAB 4**



**Issued by the  
UNITED STATES DISTRICT COURT FOR THE  
NORTHERN DISTRICT OF ILLINOIS**

DYSON TECHNOLOGY LIMITED  
and DYSON, INC.

**SUBPOENA IN A CIVIL CASE**

**V.**

CASE NUMBER: <sup>1</sup> 05-434-GMS  
United States District Court  
for the District of Delaware

MAYTAG CORPORATION

TO: Foote, Cone & Belding  
101 East Erie Street  
Chicago, IL 60601-2897

☐ YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY

COURTROOM

DATE AND TIME

☐ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION

DATE AND TIME

☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects): **See attached Schedule A.**

PLACE

APS #60601 – Attn: Corey, 55 West Wacker Dr., 9<sup>th</sup> Floor, Chicago, IL 60601  
\*Please call 1-800-328-7171 before serving

DATE AND TIME

October 20, 2006

☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES

DATE AND TIME

Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure. 30(b)(6).

ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)



Attorney for Plaintiffs

DATE

October 4, 2006

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Adam W. Poff, Esquire, Young Conaway Stargatt & Taylor, LLP, The Brandywine Building, 17<sup>th</sup> Floor, PO Box 391, Wilmington, DE 19899-0391

(See Rule 45, Federal Rules of Civil Procedure, Parts C & D on Reverse)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number.

**PROOF OF SERVICE**

	DATE	PLACE
SERVED		
SERVED ON (PRINT NAME)		MANNER OF SERVICE
SERVED BY (PRINT NAME)		TITLE

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on \_\_\_\_\_

ATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

**Rule 45, Federal Rules of Civil Procedure, Parts C & D:****(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.**

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3)(A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance;

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that

person resides, is employed or regularly transacts business person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or

(iv) subjects a person to undue burden.

**(B) If a subpoena**

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

**(d) DUTIES IN RESPONDING TO SUBPOENA.**

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

**SCHEDULE A**

**DEFINITIONS WITH RESPECT TO ITEMS SUBPOENAED AND INSTRUCTIONS**

1. "Concerning" means directly or indirectly mentioning, constituting, discussing or describing, relating to, pertaining to or being connected with a stated subject matter or any aspect thereof.

2. "Document" has the broadest meaning accorded to it by Rule 34 of the Federal Rules of Civil Procedure and includes, without limitation, any recording in any tangible form of any information, whether handwritten, typed, printed, stored on computer disks, tapes or databases (including electronic files and email), or otherwise reproduced. "Document" also includes all drafts and copies that are not identical to the original.

3. "Dyson" refers to Dyson Technology Limited and Dyson, Inc. and any and all of its predecessors and successors in interest, any and all of its parents, subsidiaries, divisions, affiliates, and affiliated entities, and its partners, employees, agents, officers, directors, and representatives of the foregoing and any other person acting or purporting to act on behalf of any of the foregoing.

4. "Hoover" refers to The Hoover Company and all of its subsidiaries, affiliates, parents, divisions and joint ventures, and includes all officers, employees, agents, representatives, contractors or consultants of those entities.

5. "Maytag" refers to The Maytag Corporation and all of its subsidiaries, affiliates, parents, divisions and joint ventures, and includes all officers, employees, agents, representatives, contractors or consultants of those entities.

6. The terms "You" and "Your" refers to the Foote Cone & Belding ("FCB"), and any and all of its predecessors and successors in interest, any and all of its parents, subsidiaries, divisions, affiliates, and affiliated entities, and its partners, employees, agents, officers,

directors, and representatives of the foregoing and any other person acting or purporting to act on behalf of any of the foregoing.

7. Documents should be produced as they are kept in Your files or shall be organized and labeled to correspond with the requests below. If documents are produced as they are kept in Your files, sufficient information should be provided to permit plaintiffs to identify the source of particular documents from within Your files.

8. All drafts of responsive documents, as well as non-identical copies, should be produced. Identical copies of a document that is being produced need not also be produced.

9. In construing these requests: (i) the singular shall include the plural and the plural shall include the singular; (ii) masculine, feminine or neuter pronouns shall not exclude other genders; (iii) the conjunctions “and” and “or” shall read either disjunctively or conjunctively so as to bring within the scope of this request all information that might be construed to be outside its scope; and (iv) the word “any” shall include, without limitation, “each and every.”

10. If You claim that any document requested is immune from disclosure (in whole or in part) under any claim of privilege or immunity, submit a written statement for each document withheld that: (i) identifies the person(s) who prepared or authored the document and all recipients or addressees, including recipients of copies; (ii) specifies the date on which the document was prepared; (iii) describes the nature of the document (e.g., letter, memorandum, notes, e-mail, etc.); (iv) identifies the subject matter of the document; (v) if the document reflects or refers to a meeting or conversation, identifies all persons who were present at or parties to the meeting or conversation; and (vi) sets forth the nature of the basis for the claim of privilege or immunity asserted.

**DOCUMENTS REQUESTED**

1. Any and all documents concerning or relating to any advertising, promotional materials and all other materials related to advertising or marketing of the Hoover Fusion, Legacy, and/or Z vacuums sold in the United States, including but not limited to the creative brief, copy direction, pretests, predecessors, drafts, storyboards, production scripts, concept tests, positioning tests, rough-cuts, animatics, advertising creative and copy development, claim/promise tests, and all other research (collectively the "Advertising").

2. Any and all documents and communications which refer or relate to the Advertising between You and/or Hoover and/or Maytag and/or promotional agency and/or any research agency, including but not limited to client contact reports, and all documents which record, report, reflect, state, tabulate, summarize, chart or otherwise pertain or refer to the dollar amount expended by Hoover and/or Maytag for the Advertising.

3. Any and all documents which refer or relate to any target audience analysis, copy strategy, copy direction, advertising copy tests, creative briefs, brand-recognition studies, competitive advertising analysis, positioning statements, in-market studies or so called first-launch studies, tracking studies, marketing and media strategy documents, media schedules, market research, and any Network clearance, inquiry or other correspondence regarding the Advertising.

4. Any and all documents concerning communications between You and Hoover and/or Maytag and/or any advertising agency and/or any testing facilities, laboratories or research companies relating to the advertising and/or testing, analyses, research or evaluations for Hoover and/or Maytag upright vacuums made or sold in the United States.

5. Any and all documents relating to each strategic marketing plan developed by or for Hoover and/or Maytag relating or referring to the sale of Hoover Fusion,

Legacy, and/or Z vacuums in the United States.

6. Any and all documents reflecting each report or summary of results of any survey, focus groups, or other market research, investigation or study relating to the Advertising.

7. Any and all documents relating to any litigation, challenges, disputes, arbitrations or mediations before any court, government agency, consumer group, or the National Advertising Division of the Better Business Bureaus (NAD) regarding advertising claims for Hoover Fusion, Legacy, and/or Z vacuum cleaners made or sold in the United States.

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